



South Carolina Department of Labor, Licensing and Regulation

South Carolina Board of Accountancy

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INITIAL IN-STATE FIRM REGISTRATION

An accounting firm with a principal place of business in South Carolina must register an office that will serve as its headquarters and list all other SC locations and resident managers, along with their contact information.

Submit the following with your application to the above address:

- Check or money order only, in the amount of \$60 made payable to SC Board of Accountancy (All fees are non-refundable. NO CASH IS ACCEPTED. A returned check fee of up to \$30, or an amount specified by law, may be assessed on all returned funds.)

APPLICANT INFORMATION

Firm Name: _____ Number of Offices in SC: _____

Mailing Address: _____ City: _____ State: _____ Zip: _____

Physical Address: _____ City: _____ State: _____ Zip: _____

Phone No.: _____ Fax No.: _____

Email: (Required) _____

FEIN No.: _____ Date firm opened: _____

Resident Manager Name: _____ Certificate/License No.: _____

1. Type of Firm: (Check one only)

- CPA PA AP

2. Type of Organization: (Check one only)

- Sole Proprietor Partnership LLP LLC Professional Corp. or Assoc.
- Other: (Please explain) _____

3. What type of work does your firm perform? (Select all that apply)

- Compilations Payroll Processing Reviews Governmental Audits/Yellow Book
- Taxes Audit ERISA Audits Financial Statements without Reports
- Prospective Financial Information Agreed-Upon Procedures Preparation of Financial Statements
- Other Services: (Please list) _____

4. If you provide Compilation or Attest services, are you enrolled in an approved Peer Review Program?

- Yes No

Please submit a copy of your most recent Peer Review Report. *If No, you will need to produce evidence of enrollment with the submission of this application.

If Yes, when was your firm's last peer review and the result? _____

OWNERSHIP

List the name and license number of each licensed owner whose principal place of business is in SC and who are personally engaged in public practice, include percentage of ownership. Provide an attachment, if necessary.

	<u>Name</u>	<u>License No.</u>	<u>% of Ownership</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

List the name of all non-licensed owners who are SC residents; include their percentage of ownership, job title, and duties. Provide an attachment, if necessary.

- Name: _____ % of Ownership: _____
Job Title: _____ Duties: _____
- Name: _____ % of Ownership: _____
Job Title: _____ Duties: _____

LOCATIONS

Please provide the firm’s other SC locations, resident manager’s name and contact information. Provide an attachment, if necessary.

- Location Address: _____
Street City State Zip
Resident Manager Name: _____ Phone No.: _____
Email: _____
- Location Address: _____
Street City State Zip
Resident Manager Name: _____ Phone No.: _____
Email: _____
- Location Address: _____
Street City State Zip
Resident Manager Name: _____ Phone No.: _____
Email: _____
- Location Address: _____
Street City State Zip
Resident Manager Name: _____ Phone No.: _____
Email: _____
- Location Address: _____
Street City State Zip
Resident Manager Name: _____ Phone No.: _____
Email: _____

Do all partners, officers, shareholders, members, or managers whose principal place of business is in this State, who perform professional services in this State, hold a valid license issued by the SC Board of Accountancy?

Yes No

FIRM HISTORY QUESTIONS

If you answer "Yes" to any of the below questions, you will need to submit a detailed explanation along with any court or other relevant documentation.

1. Has the firm surrendered a professional license in any jurisdiction while under investigation for potential disciplinary action or with disciplinary action pending? Yes No
2. Has the firm been convicted, pled guilty, or pled nolo contendere (no contest) for the violation of any federal, state or local law? Yes No
3. Has the firm had a judgment entered against it or settled a claim of any kind (whether administrative, judicial, or in arbitration) regarding fraud, dishonesty, misrepresentation or professional malpractice? Yes No
4. Has any other state or federal agency revoked, suspended, reprimanded, otherwise disciplined, or refused to renew your firm's right to practice for a reason other than failure to pay an annual registration fee? Yes No
5. Is your firm delinquent in filing any of the firm's required tax returns to include, but not limited to, income taxes, payroll taxes, or unemployment taxes? Yes No

ATTESTATION

I HEREBY CERTIFY, under penalties of perjury to the truth and accuracy of all statements, answers and representations contain herein. This office is under my direct supervision, and I hold a certificate as a Certified Public Accountant, Public Accountant or an Accounting Practitioner.

I also certify that the firm is organized in accordance with South Carolina state law applicable to the type of organization indicated in item 2 of this application.

I further certify that the firm is organized in accordance with the appropriate statute.

By my signature below (including electronic signature), I hereby authorize the administrator of my firm's peer review to release this Licensing Board any information, files, or records requested by the Board for its evaluation of my firm's qualifications for accountancy practice in South Carolina. I hereby release, discharge and exonerate the S.C. Board of Accountancy, its agent Resident Manager Signature or representative, and any person or organization furnishing information concerning my firm's peer review from any and all liability of every nature and kind arising out of the furnishing of such information, or arising from investigation made by the S.C. Board of Accountancy.

Resident Manager Signature

Date

Forms are updated periodically; however, in the event of conflicting language, current statutes and regulations take precedence. Nothing in this form supersedes, alters, or otherwise changes provisions of the South Carolina Code, Regulations, or Board Orders. Individuals should review the Board's current statutes and regulations, or contact Board staff, for clarification of requirements and information presented herein.

PRIVACY NOTICE

South Carolina law requires the agency to collect personal information which is only disseminated as required by law. The South Carolina Freedom of Information Act ensures that the public has a right to access appropriate records and information possessed by a government agency. Therefore, some personal information on your renewal application and other documents on file may be subject to public scrutiny or release. The Department collects and disseminates personal information in compliance with The South Carolina Freedom of Information Act, the South Carolina Family Privacy Protection Act and other applicable privacy laws and regulations. Additionally, the Department shares certain information on the application with other governmental agencies for various governmental purposes, including research and statistical purposes.